



Fitness Committee (Admission to Provisional Membership, Re-Registration and Readmission) Regulations

SCHEDULE OF CHANGES – EFFECTIVE 1 JANUARY 2018

| Regulation | Amendment |
|------------|--|
| 4(a) | A new sub-paragraph iii) has been inserted to extend the definition of 'applicant' to include a person who was previously a Foundation Qualification Holder (FQH) and who is applying for re-registration as a FQH in accordance with the Foundation Qualification Regulations. |
| 4(b) | Definition of 'application' has been deleted. |
| 4(c) | Definition of 'contracted firm' has been inserted. |
| 4(e) | Definition of 'Disciplinary Bye-laws' has been amended for clarification purposes. |
| 4(h) | Definition of 'Foundation Qualification Holder' inserted. |
| 4(j) | Definition of 'Member firm' inserted. |
| 4(p) | Definition of 'Regulated firm' inserted. |
| 4(q) | Definition of 'Regulations' amended to reflect the change in title of the regulations. |
| 7 | <p>Minor, stylistic amendments have been made to the drafting of paragraphs i) and ii).</p> <p>New paragraph has been inserted at iii) to reflect the role of the Fitness Committee in determining applications for re-registration of FQH status in accordance with the Foundation Qualification Regulations.</p> |
| 8 | Reference to 'paragraphs i) or ii) above' has been deleted as a consequence of the amendments to regulation 7. |

| | |
|-------|--|
| 15 | Reference to 'panel chair' has been replaced with 'PCD Committee Secretary'. The PCD Committee Secretary can now determine applications for postponement of hearings which have yet to commence. |
| 16 | It has been clarified that the PCD Committee Secretary shall provide ICAEW with a copy of any documentation received from the applicant and provide ICAEW with a reasonable opportunity to respond. |
| 23 | Regulation has been expanded to refer to applications for re-registration of FQH status. |
| 29 | Regulation has been amended to refer to applications for re-registration of FQH status. |
| 34 | New regulation reflecting the right of appeal for applicants whose applications for re-registration of FQH status are refused by the Fitness Committee. Foundation Qualification Regulations 27 and 28 provide for this right of appeal. |
| 35(b) | Paragraph has been extended to include principals and employees of contracted and regulated firms, as well as member firms. |
| 35(d) | New paragraph enabling the disclosure of written material and information provided during the proceedings to insurers. |

Note: The regulations were previously entitled the Fitness Committee (Admission to Provisional Membership and Readmissions) Regulations. The title was amended with effect from 1 January 2018 to reflect the expanded remit of the committee.